

XAFS study of Hafnium-based high-k ultra-thin films

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Roadmap of transistor technology

(from ITRS2003)

		2003	2004	2005	2006	2007	2008	2009	2010	2012	
Technology node (nm)	Dev.	65		45							
	Mass		90		65				45		
EOT (nm)		2.2	2.1	2.1	1.9	1.6	1.5	1.4	1.3	1.2	
Gate Dielectric	Dev.	Hf-based				La-based					
	Mass	SiON			Hf-based				La-based		

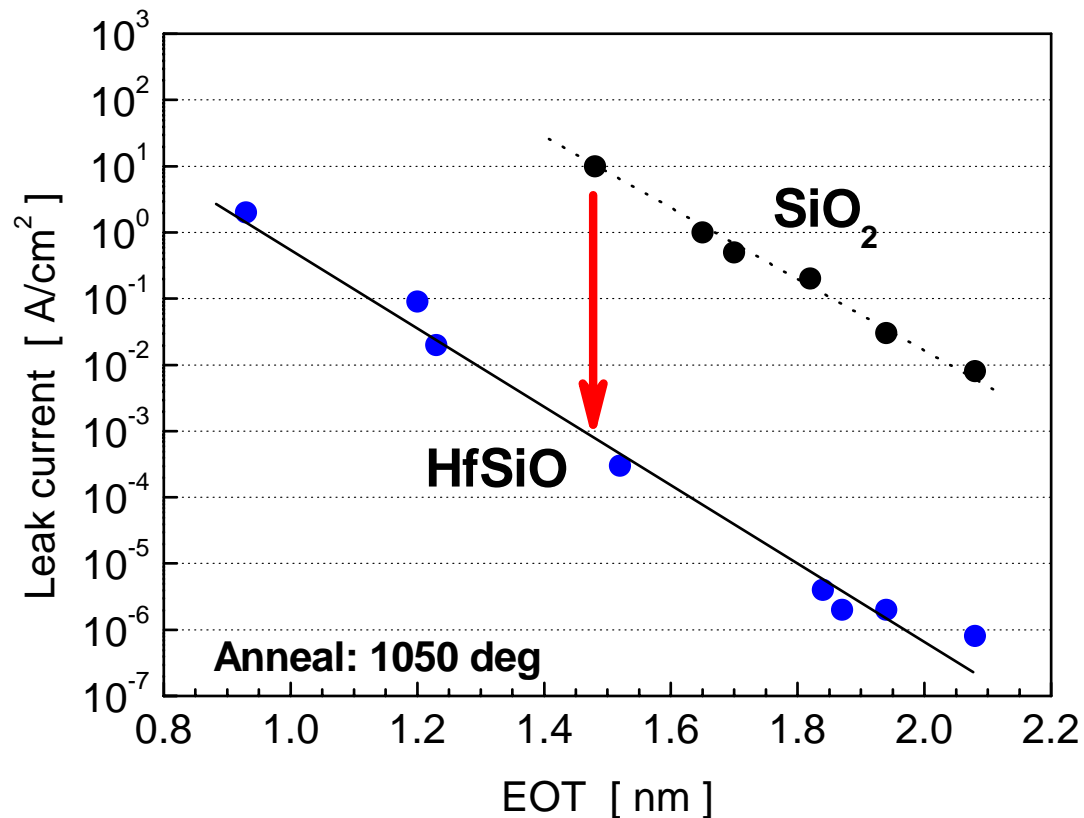
(Dev.=development, Mass=mass production)

HfO₂ high dielectric constant (SiO₂ = 3.9 vs **HfO₂ = 25**)
 good interface properties with Silicon
 easy to fabricate amorphous films by PVD or CVD methods
Week point: crystallized at around 700 -> increase of leak current

Adding Si to HfOx films is effective for keeping amorphous up to above 1000 .

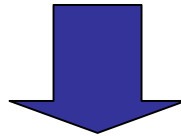


- EOT=0.9nm
- Reduction of leak currents by 4 orders.
- Stable at high temperature (~ 1050)



However , the structures of the films with Si have not been fully studied.

It is quite important to know the structures of the films, in order to understand the mechanism of the suppressing crystallization and how much silicon we should add to the film for the purposes.



We have applied XAFS analysis to investigate the difference of the local structure around Hf atoms between HfO_x and HfSiO_x films.

Challenging points: analysis of the films less than 10 nm!

Samples : Hf(Si)O_x / Si(100)

- **Process : MOCVD**
- **Thickness : 2 ~ 20 nm (confirmed by XRR)**
- **Composition : Hf/Si=1/1 (confirmed by RBS)**
- **Standard : HfO₂ crystal powder**

XAFS experiments: at SPring-8 BL16B2 and BL16XU

- **Si(311) / Si(111) double crystal monochromator**
- **Focusing & harmonics reduction: Rh-coated mirror (5 mrad)**
- **Detections: Electron yield method & Fluorescence method**

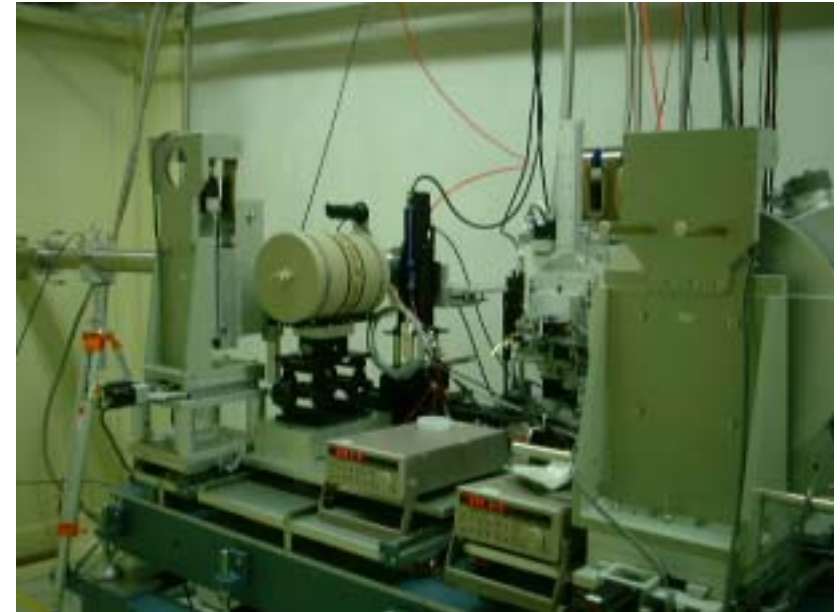
I₀ monitor = 17 cm ionization chamber , N₂100%

EY = Teikoku denshi Co , He-flow type , HV = 1000V

Fluorescence = IGLET pure-Ge single SSD / Rigaku spectrometer

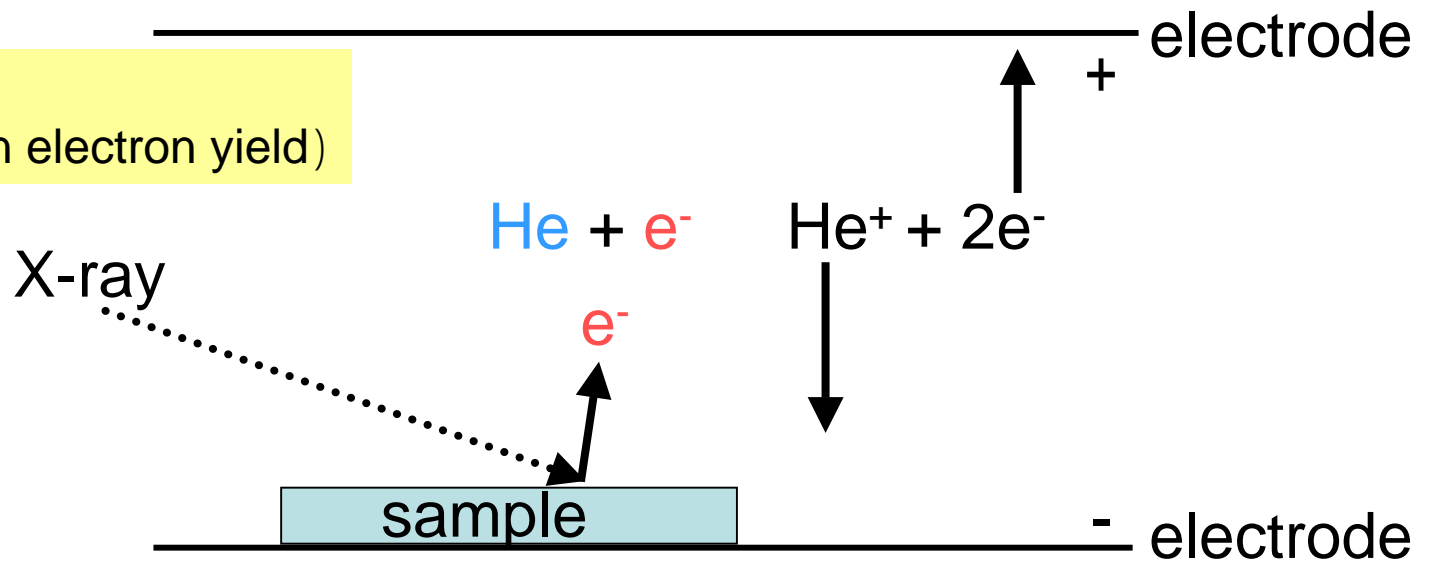


Conversion Electron Yield detector
settled on the θ - 2θ goniometer,
Inclined by 5°



Fluorescence detection @BL16B2
Incident x-ray / sample / detector = 45° .
Sample / detector head = 5 cm

CEY
(conversion electron yield)

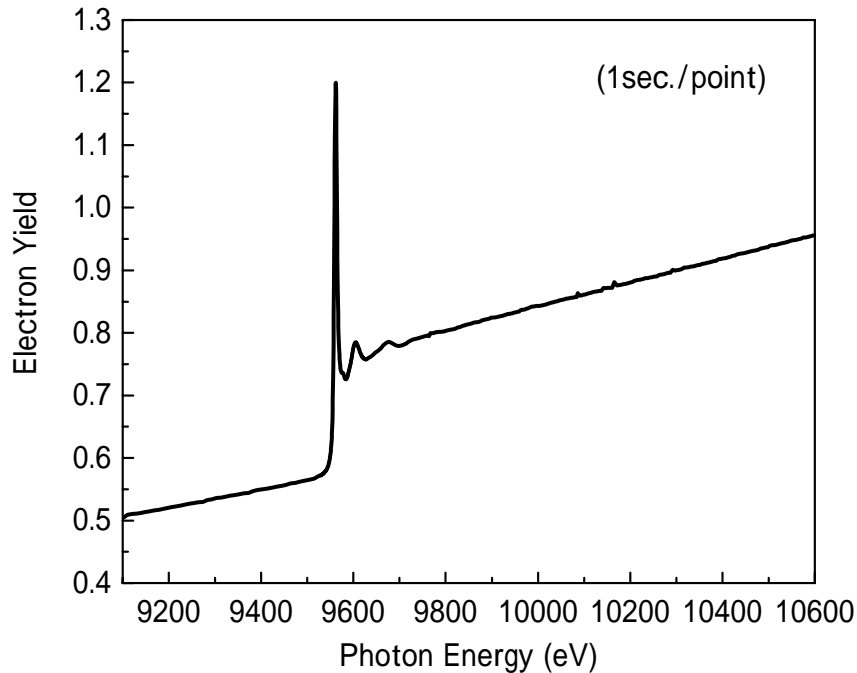


escape depth:

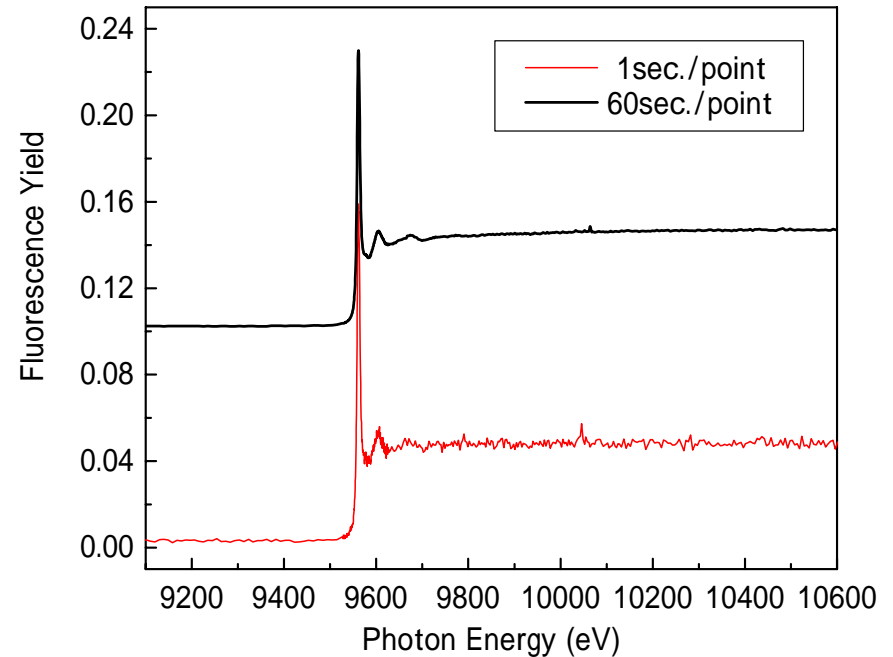
Auger electron, photo electron	~ nm
secondary electron	~ sub μm

Hf-L₃ spectra of a HfO_x thin film

(CEY vs SSD-F)

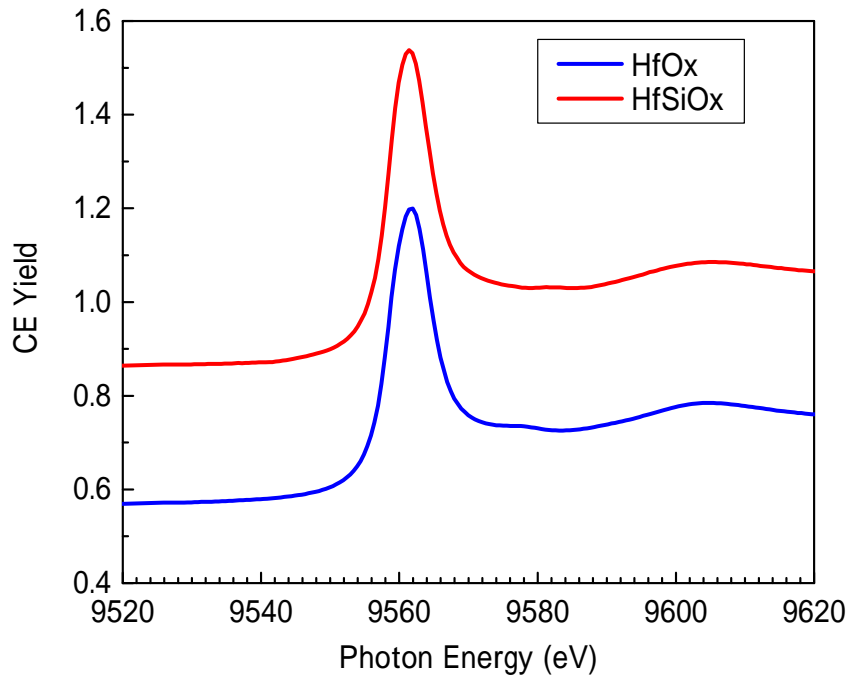


By Conversion Electron Yield method
(total measurement time = 40 min.)

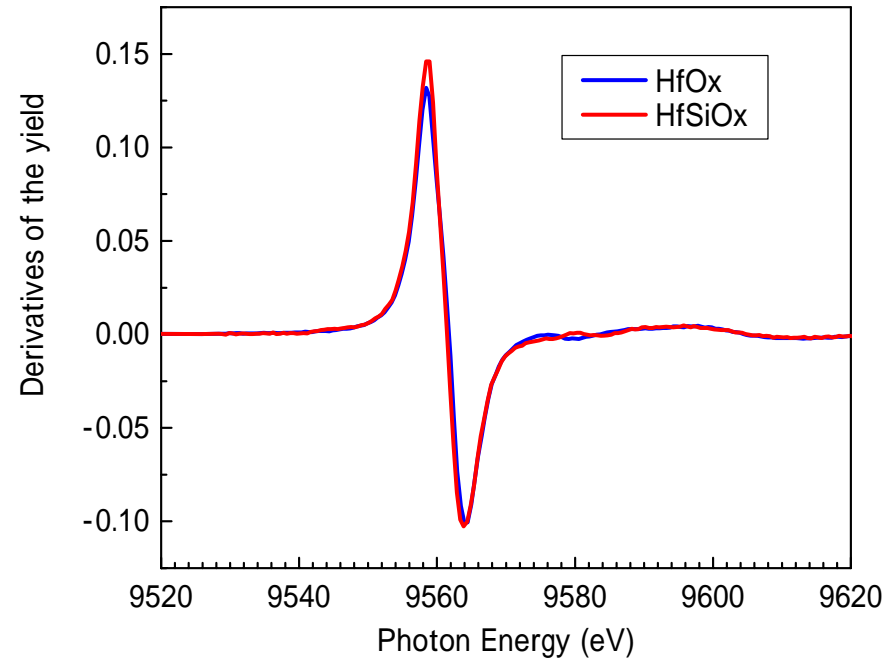


By Fluorescence Yield method
(total time = 40 min. / 6 hrs.)

Practical XAFS measurements of 10 nm thick film can be realized by CEY method.



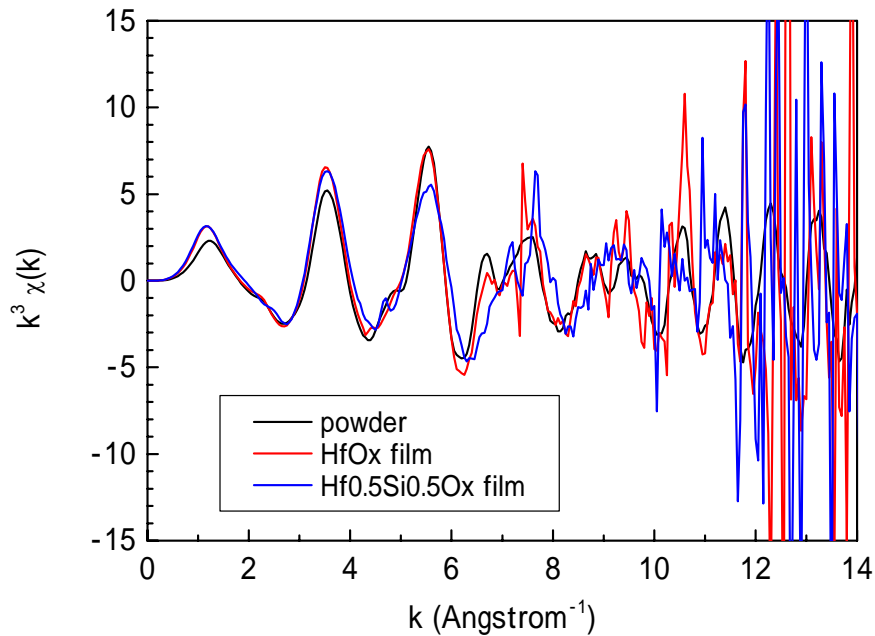
Hf-L₃ XANES of Hf(Si)O_x thin films



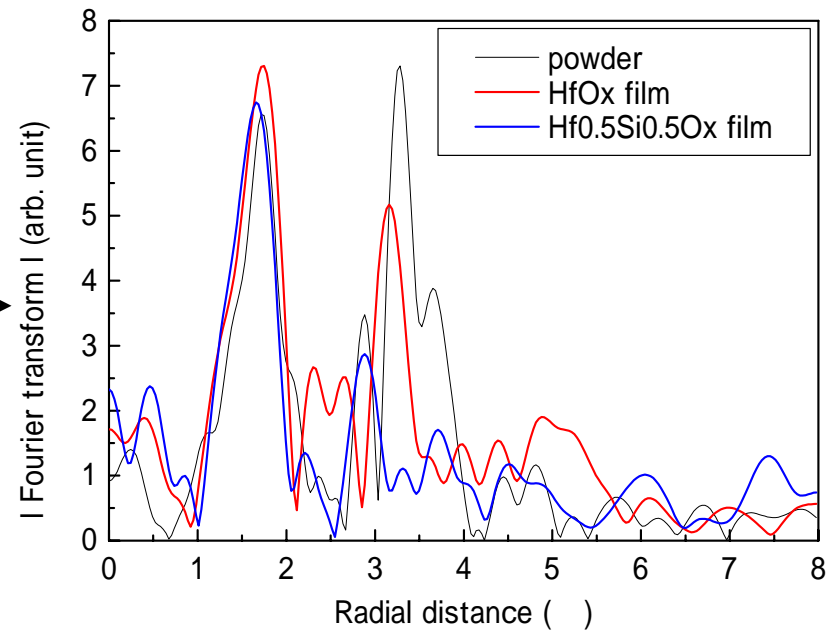
Derivatives of the XANES

No difference in the absorption energy and other features

The valence of Hf atoms does not change when adding Si atoms to the film.



EXAFS function $k^3 \chi(k)$



Fourier transform of $k^3 \chi(k)$

- Up to $k \sim 12$ of EXAFS functions can be used for the analysis.
- **Signal of the 2nd coordination shell is quite weak for the HfSiOx film.**

Results of curve fitting

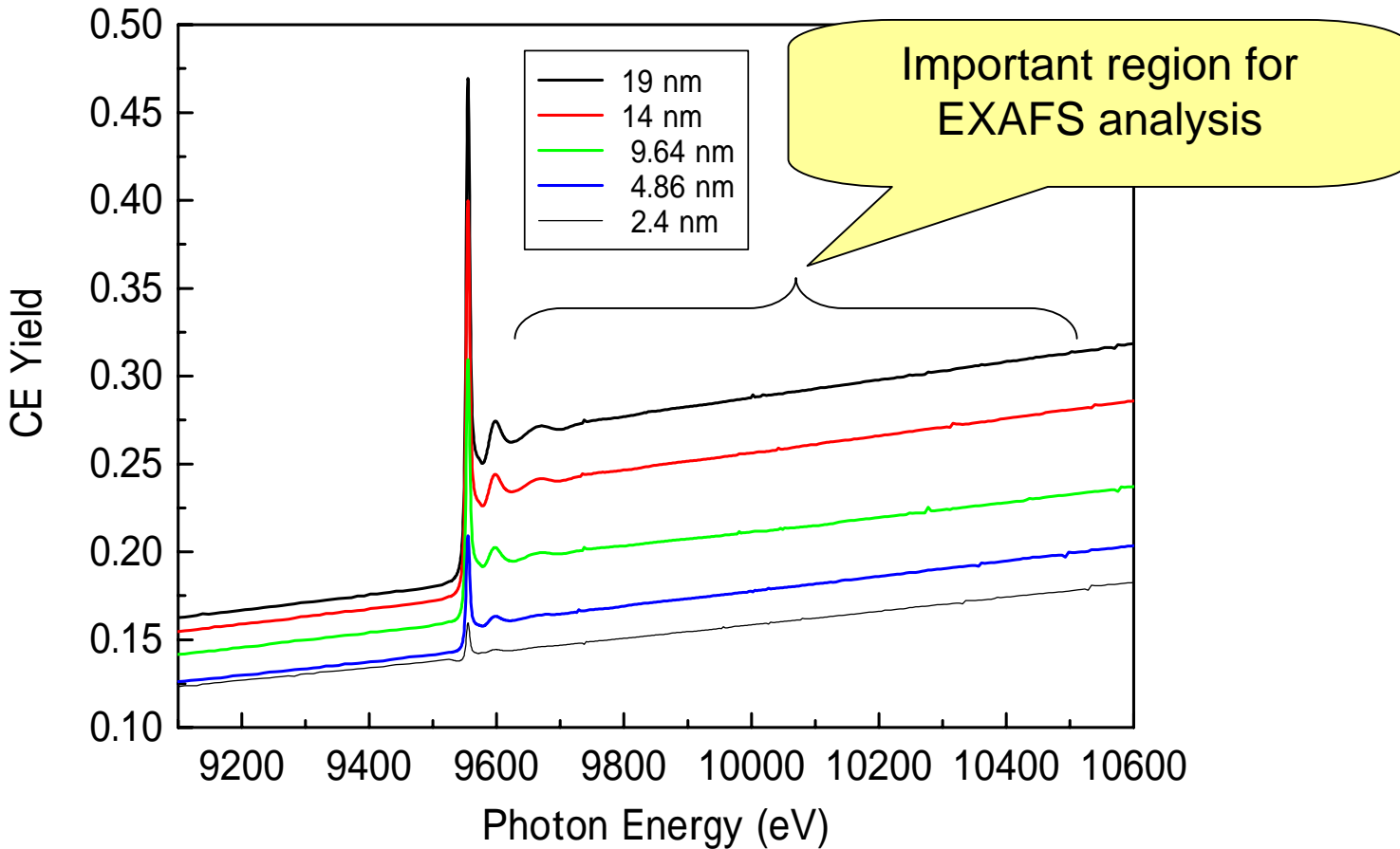
Sample	Hf - O			Hf (- Hf*)		
	r	n	DW	r	n	DW
Powder	0.212 nm	6.8	0.107	0.339 nm	5.5	0.061
HfOx film	0.211 nm	6.9	0.090	0.339 nm	4.5	0.064
Hf _{0.5} Si _{0.5} Ox film	0.211 nm	6.6	0.112	0.305 nm	2.3	0.073

r = distance, n = coordination number, DW = Debye-Waller factor
 Fitting parameter: values from the powder result were used.

***Hf-O-Si model was tried at the fitting of the HfSiOx, however, the model gave us a worse fitting result.**

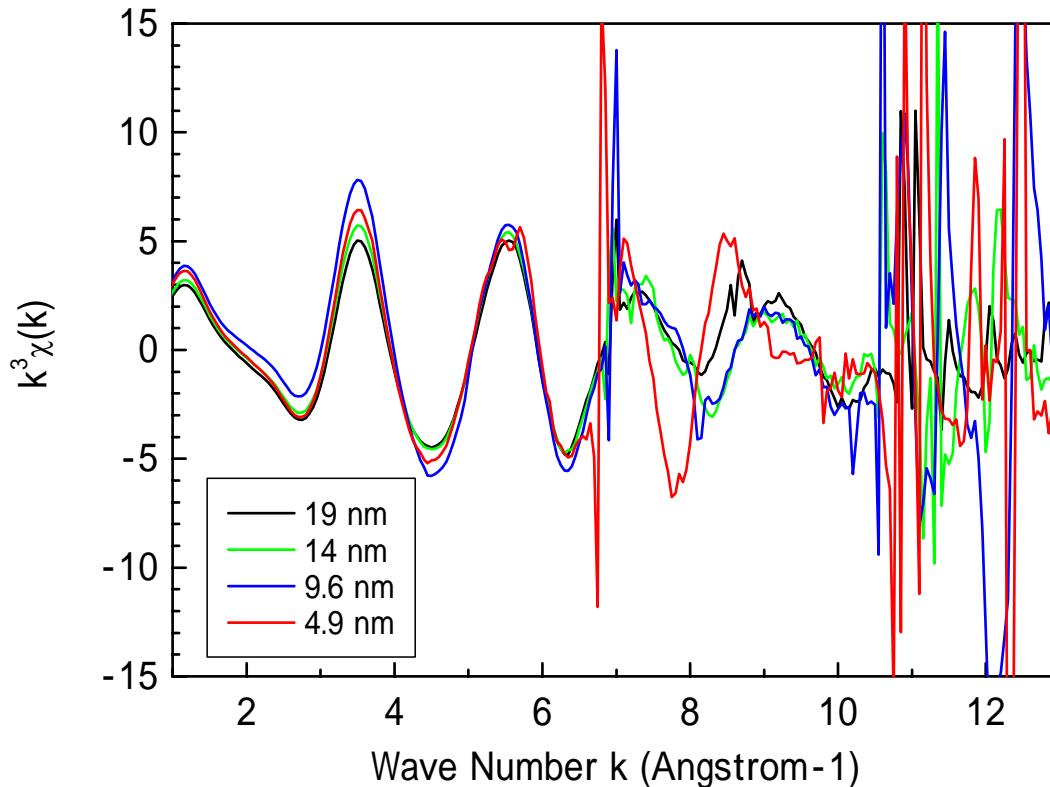
**It is confirmed that the HfSiOx film is completely amorphous.
 (having quite few Hf-O-Hf configurations)**

thickness effect



No apparent beat can be observed in the spectra of the films less than 5 nm.

EXAFS functions of ultra-thin films

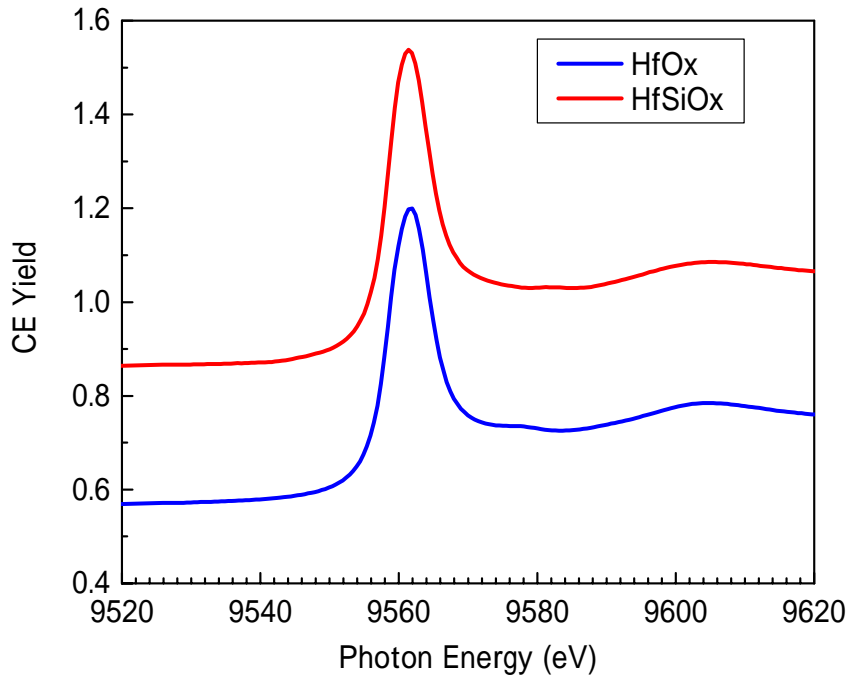


EXAFS functions

The EXAFS function of 4.9nm films is completely different from those of thicker films above $k > 7$.

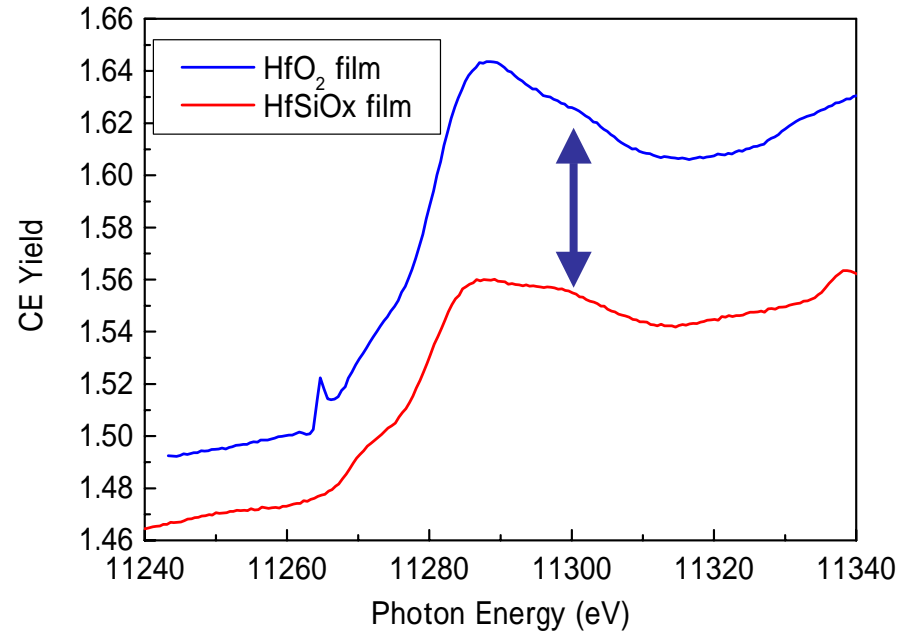
We confirmed that **more than 10 nm of film thickness** is necessary to get appropriate structural information from EXAFS analysis.

Hf-L₁ XANES of Hf(Si)O_x by CEY method



Hf-L₃ edge

**No difference in
the Hf-L₃ edge**

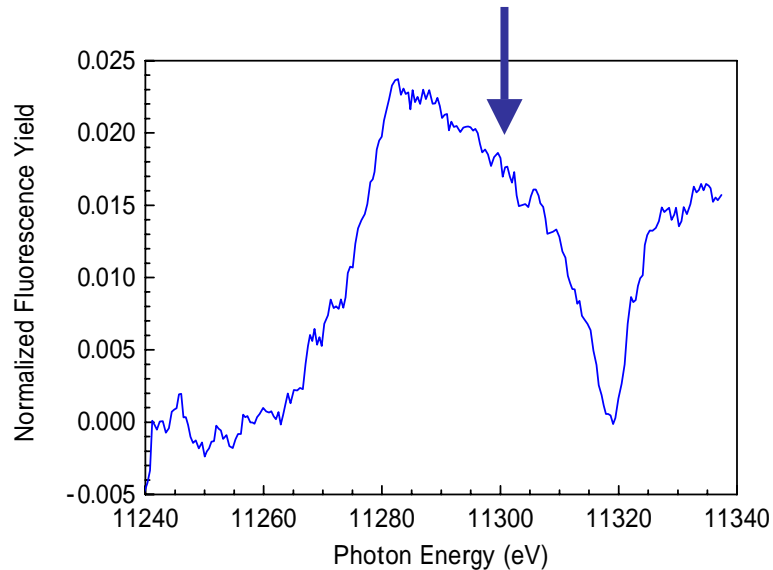


Hf L₁ edge

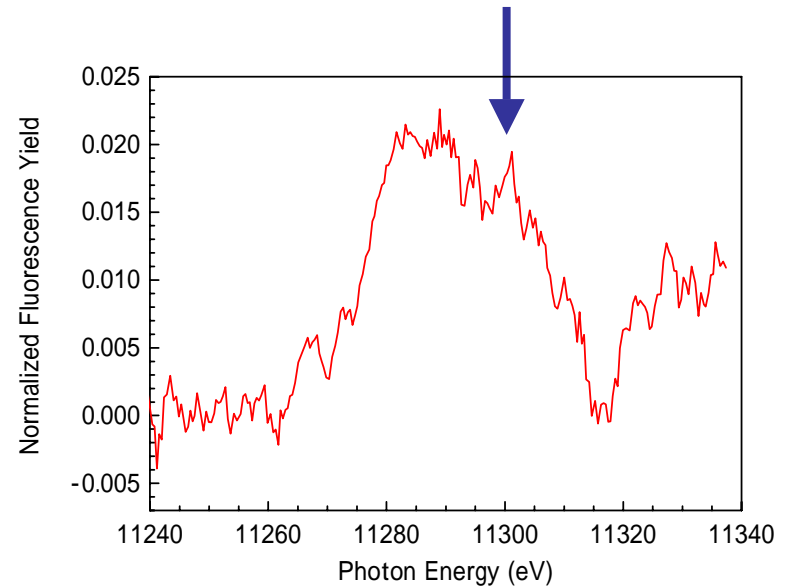
**HfSiOx shows a new shoulder
peak at 11300 eV.**
can be used as a footprint of
Hafnium-silicate

Hf-L₁ XANES of Hf(Si)O_x (2)

by fluorescence method @BL16XU



HfO_x (10nm) /Si



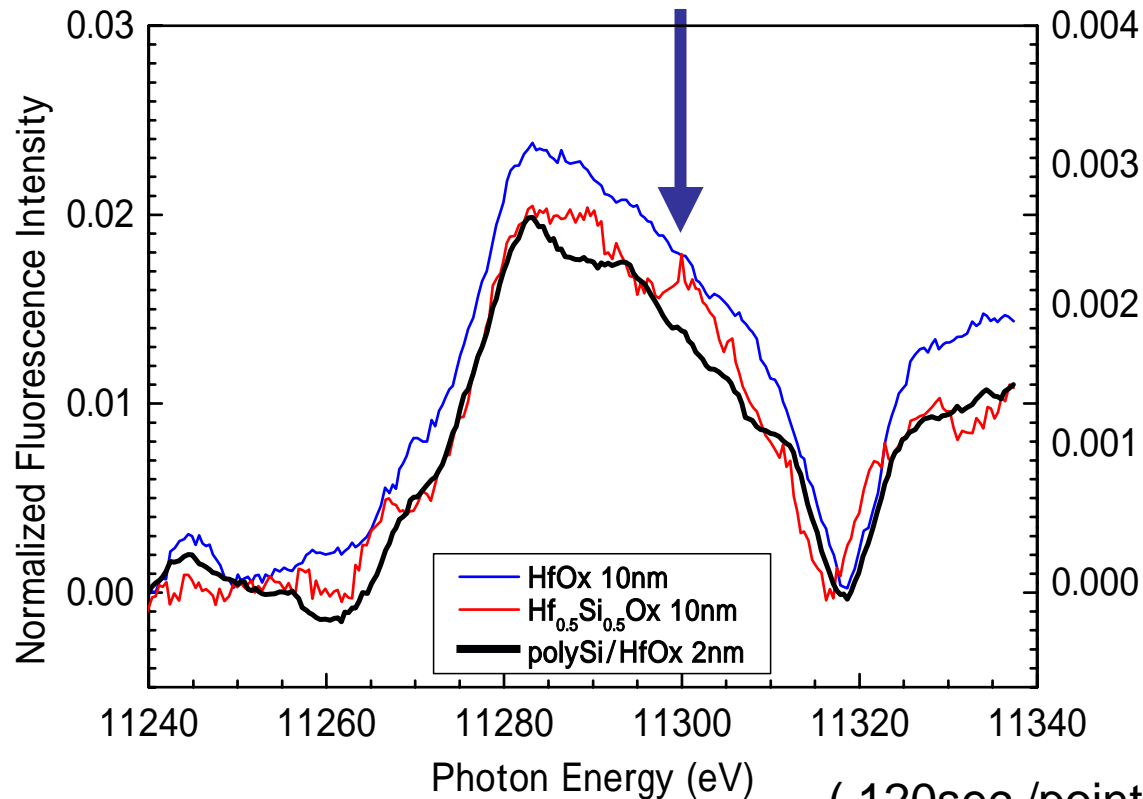
Hf_{0.5}Si_{0.5}O_x (10nm) /Si

(20 sec/point -> total time = 1.1 hr)

The shoulder peak at 11300 eV is apparent in the spectrum of HfSiO_x by the fluorescence method, even in bad S/N ratio.

Hf-L1 XANES of HfSiO_x (3)

2 nm film under polySi electrode



The 2 nm HfOx film under poly-Si electrode does not show the shoulder peak.

We found that formation of Hf-O-Si is negligibly small after polySi electrode fabrication process.

- We showed CEY method is quite effective for the study of the thin films, however the thickness limit is around 10 nm.
- The valence of Hafnium atoms does not change when adding Silicon atoms to the film.
- HfO_x thin film should contain some amount of amorphous phase, as its Hf-Hf signal in the EXAFS is smaller than that of the crystal powder. We confirm that **HfSiO_x thin film is completely amorphous.**
- **HfSiO_x shows an extra absorption at 11300 eV**, above its Hf-L₁ edge, **which is not seen in HfO_x.** This extra absorption might be used to investigate whether an ultra-thin film of HfSiO_x has Hf-O-Si configuration in it.